

SUSTAINABLE STRATEGY

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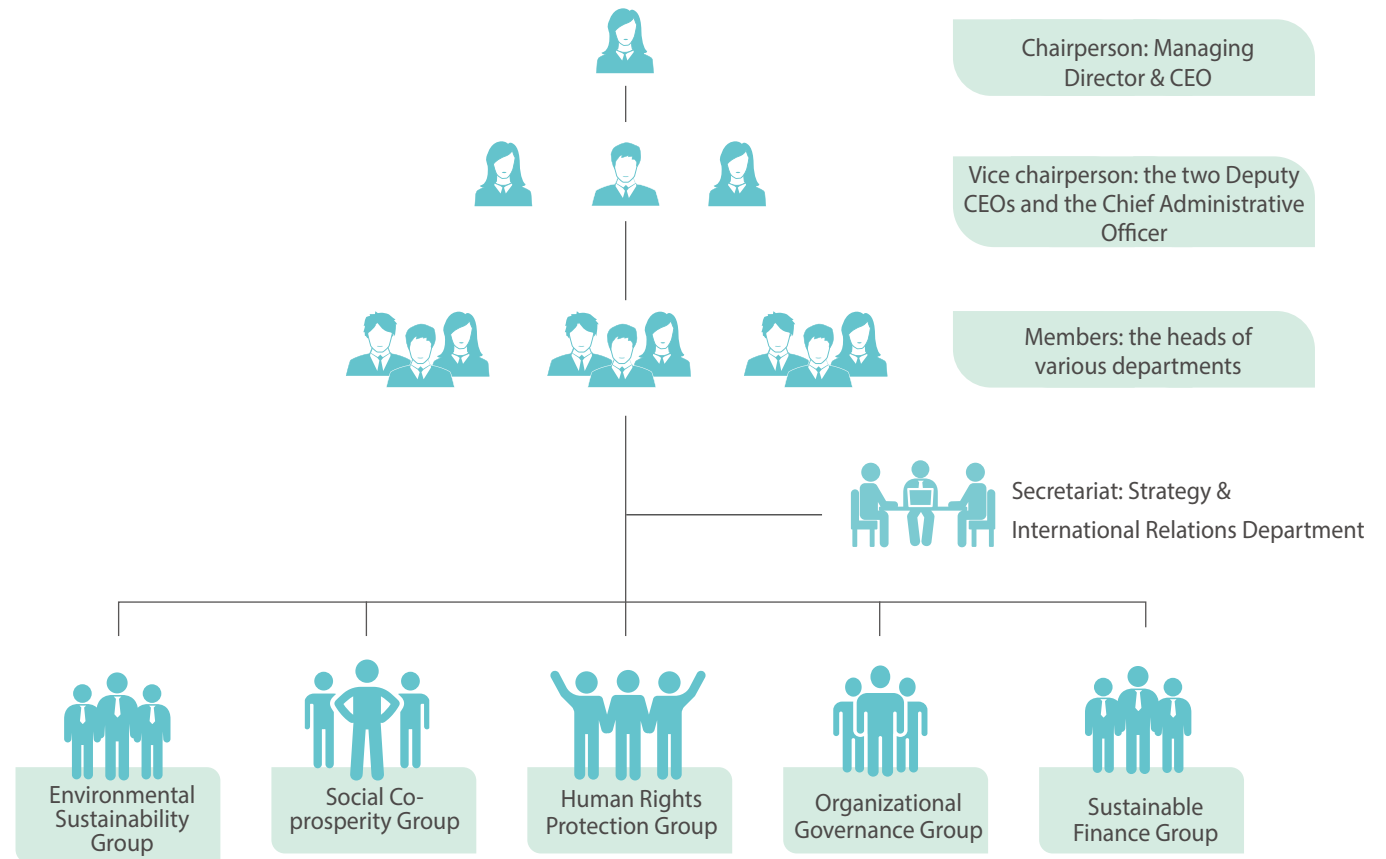




Sustainable Promotion Organization

TPEX has established the Sustainable Development Committee, an internal task-oriented organization responsible for coordinating and promoting sustainable development, of which the Managing Director & CEO serves as the chairperson, the Deputy CEOs and the Chief Administrative Officer serve as the vice chairpersons, and the heads of various departments serve as members. The committee has five functional promotion groups, namely Environmental Sustainability, Social Co-prosperity, Human Rights Protection, Organizational Governance and Sustainable Finance, which are responsible for promoting and implementing the sustainable development strategies, and tracking the implementation effectiveness. To facilitate its smooth operation, a Secretariat is established, managed by the Strategy & International Relations Department, responsible for formulating sustainable development goals and strategies, coordinating meeting affairs, and compiling the sustainability report.

In principle, the Sustainable Development Committee of TPEX convenes two meetings each year, and may hold additional meetings as necessary to accommodate business needs. In 2023, the Sustainability Development Committee held meetings in March and November, respectively. The



key reports and discussions for the March meeting included the implementation status of significant sustainability goals and strategic plans established in the previous year and enhanced recommendations, the outcomes of the meetings of functional promotion groups, the sustainability report compilation progress, and the greenhouse gas inventory report review results. The key reports and discussions for the November meeting included the

outcomes of the meetings of the functional promotion groups, the formulation of sustainability goals and strategic plans for the next year, the planning for the sustainability report compilation, and the follow-up planning and handling for the greenhouse gas inventory. Through the sustainability report, TPEX reports to the Board of Directors on sustainability strategies, key sustainability goals, the implementation of activities, and results..



Sustainable Development Goals

With the vision of Embracing Sustainable Finance, Creating Win-Win-Win for Customers, the Market and the Environment, TPEX implements a three ESG aspects-oriented strategy and formulates the execution strategies from three levels, namely TPEX, the real economy and the capital market.



TPEX	Goal	In response to international trends and government calls, deepening our own sustainable development and supporting businesses in achieving net-zero transformation, aiming to co-create a sustainable future.
	Strategies	<ul style="list-style-type: none"> • Setting carbon reduction targets and specific implementation measures with reference to international initiatives or Taiwan' s policies such as the 2050 Net Zero Emissions Pathway. • Using internal mechanisms and resources to train and retain talents, care for society, and cultivate the sustainable value and ESG culture. • Strictly abiding by the ethic management guide, improving service resilience, and strengthening TPEX' s sustainability information disclosure and communication with stakeholders.
Real Economy	Goal	Assisting enterprises to strengthen ESG sustainable development in multiple aspects to enhance the competitiveness.
	Strategies	<ul style="list-style-type: none"> • Guiding enterprises to implement greenhouse gas inventory and assurance, and cultivating sustainable development competitiveness. • Strengthening the promotion of corporate sustainable development. • Promoting various corporate governance measures in accordance with the Corporate Governance Roadmap and relevant policies.
Capital Market	Goal	Guiding funds into ESG development and transition, and offering diversified products and services to improve the operation of the sustainable finance ecosystem.
	Strategies	<ul style="list-style-type: none"> • Promoting diversity and innovation of sustainable products, guiding funds to support the sustainable transformation of enterprises, and providing investors with more opportunities for responsible investment. • Continuously optimizing products and services, conducting financial education seminars, creating a friendly market environment, and implementing inclusive finance. • Cooperating with the competent authorities to promote the sustainable development and transformation of securities firms. • Improving the market system and enhancing the transparency of sustainable information to increase the security, fairness and stability of transactions.





Stakeholders Identification

To strengthen and enhance communication with stakeholders, TPEX identified important stakeholders extensively, investigated issues of concern to stakeholders, and adjusted the sustainable development strategies in its 2023 report through the following methods.

Identification process of stakeholder

Step1

**Inventorying
communication targets**
**9 major categories of
stakeholders**

- Considering past experiences and operations in 2023, TPEX first identified 9 major categories of stakeholders.

Step2

**Focusing on
communication
priorities**
**6 major categories of
important stakeholders**

- Adopted the AA1000 Stakeholder Engagement Standard stressing the 5 dimensions of “responsibility, tension, influence, diverse perspectives, and dependency” .
- 10 senior executives of TPEX assessed the influences between stakeholders and TPEX by questionnaires.
- In 2023, the following six categories of key stakeholders were prioritized for communication:
 - › Government agencies and surrounding units of securities and futures
 - › Main Board, Emerging Stock Board and Go Incubation Board companies
 - › Investors
 - › Intermediary agencies
 - › Employees
 - › Media



2023 Stakeholders Engagement Lists

Stakeholders	Importance to TPEX	Expectations for TPEX	Main communication channels and frequency	Main communication results
Government agencies and surrounding units of securities and futures	TPEX is a franchise business and is subject to the approval of the competent authority; as such, it is obligated to cooperate with the competent authority's supervision and inspection. Government agencies at all levels have influence, binding force and legal authority on TPEX daily operations, and surrounding units of securities and futures are important partners of TPEX to promote relevant policies.	Build a healthy capital market, follow financial laws and regulations, maintain market order, supervise Main Board / Emerging Stock Board companies and protect investors' rights and interests in due time.	<ul style="list-style-type: none"> • Meetings and public hearings (irregular) • Formal correspondence (irregular) • Phone call, fax, and email (irregular) • Compiling and reporting important business statistics (irregular) 	<ul style="list-style-type: none"> • TPEX cooperated with the competent authority in reviewing, revising various rules and regulations, and promoting new systems. • Completed tasks assigned by the competent authority on time. • There were no major penalties in 2023.
Main Board, Emerging Stock Board and Go Incubation Board companies	Domestic and international enterprises, after receiving counseling and examination from TPEX, issue stocks to be listed on the Main Board, Emerging Stock Board and Go Incubation Board, or issue bonds to raise funds. This helps promote economic growth and facilitates circulation of capitals.	Improve the examination and supervision structure as well as laws and regulations, improve examination efficiency, maintain smooth consultation and communication channels, and improve the provision of diversified financing instruments such as stocks and bonds and related services.	<ul style="list-style-type: none"> • Field visits (irregular) • Symposiums, educational promotion, forums (irregular) • Educational training (irregular) • Formal correspondence (irregular) • Phone calls, fax, and e-mail (irregular) • Routine audits (regular/quarterly/monthly) • Special audits (irregular) 	<ul style="list-style-type: none"> • By the end of 2023, there were 816 domestic and foreign companies listed on the Main Board, including 17 companies newly listed in 2023. • By the end of 2023, there were 329 domestic and foreign companies listed on the Emerging Stock Board, including 73 companies newly listed in 2023. • By the end of 2023, there were 111 companies listed on the Go Incubation Board and 52 companies under counseling. • By the end of 2023, the balance of the bond market reached NT\$16.9 trillion. • In 2023, a total of 47 sustainable bonds were issued, with an issue amount of NT\$147.3 billion.
Investors	With the mission of providing a fair and safe trading environment and meeting the investors' needs, TPEX provides diverse instruments such as stocks, bonds, warrants, ETFs, ETNs and derivatives, enabling investors of different attributes and types to participate.	Protect investor rights, and enhance market supervision to make information symmetrical, open and transparent.	<ul style="list-style-type: none"> • TPEX Corporate Day Events (quarterly) • Emerging Stock Board company performance briefings (biannually) • Investor education seminars (irregular) • Overseas investor conference (irregular) • Market Observation Post System updates (regular and irregular) • Market announcements and press releases (irregular) • Official website and social media (irregular) • Investor emails and service hotline (irregular) 	<ul style="list-style-type: none"> • In 2023, the total number of traders in the TPEX market exceeded 3.18 million. • There is a dedicated hotline for investors and a consultation hotline for Emerging Stock Board investors. Each hotline is answered by dedicated personnel, and 100% of the messages have been conveyed to the relevant business department for handling and replying to the investors.



Stakeholders	Importance to TPEX	Expectations for TPEX	Main communication channels and frequency	Main communication results
Intermediaries	TPEX promotes key partnerships with professional institutions that serve as crucial bridges for enterprises entering the capital market. This enhances TPEX's efficiency in counseling and examination, and promotes the matching of high-quality enterprises with market funds.	Organize promotion and education seminars on various newly revised laws and regulations, and establish sound communication channels with TPEX.	<ul style="list-style-type: none"> • Meetings, seminars, and promotional events (irregular) • Formal correspondence (irregular) • Telephone, fax, and email (irregular) • Intermediary agency award ceremonies (annual) • Listing examination satisfaction survey (annual) 	<ul style="list-style-type: none"> • Communications with certifying accountants as needed in regular and exceptional management (e.g., asking the certified accountants to provide additional explanations or opinions when required for the actual review of financial statements or business requirements for the management of listed companies). • Organized 2 " Intermediary Agency Training Sessions on Assisting Domestic and Foreign Companies in Listing on TPEX" . • Held the 2023 Intermediaries Award Ceremony, commending and increasing communication with 8 securities firms and 4 accounting firms with outstanding contributions. • Communication with securities underwriters as needed in the management of companies listed on Main Board (such as the evaluation opinions of securities underwriters for Main Board companies' fundraising): • Conducted a total of 145 routine and special audits, risk assessments, and anti-money laundering audits on securities firms..
Employees	Employees are a vital asset of the TPEX, responsible for developing new products, maintaining various trading systems, supervising the market, promoting corporates' fundraising, and revitalizing the securities market. High-quality and competent personnel are the key to strengthening TPEX's competitiveness.	Recruiting competent employees, cultivating high-quality talents, maintaining harmonious labor relations, and creating an excellent work environment are core to sustaining TPEX's competitive edge.	<ul style="list-style-type: none"> • Training for executives and all employees (irregular) • Encouragement meetings (quarterly) • Sports events (irregular) • Year-end thanksgiving parties (annually) • Employee-employer meetings (quarterly) • Personnel appraisal group meeting (held once every six months, and may also be held irregularly) • Sexual harassment complaint handling committee (irregular) • Employee Pension Fund Management Committee and Labor Retirement Reserve Supervision Committee (held once every quarter, and may also be held irregularly) 	<ul style="list-style-type: none"> • In 2023, the employee-employer relations were harmonious, without any major issues arising between the two sides. • 100% of employees who applied for parental leave were reinstated in 2023, and 100% of them remained employed one year after the reinstatement. • According to the employee opinion survey on the 2023 education and training plans, employees responded well to the education and training.
Media	TPEX promotes various systems, operations, and publicity efforts that involve the interests of a broad range of investors. As media reach a wide range of investors and spread information fast, it helps enhance the efficiency of information acquisition by investors and strengthen investor services and protection.	Manage the publication of news messages related to various systems, operations, and publicity efforts, and establish a good communication channel with TPEX.	<ul style="list-style-type: none"> • Telephone, fax, and email (irregularly) • Internet messaging applications (irregularly) • Press conferences and press releases (irregularly) 	<ul style="list-style-type: none"> • Regular and irregular publication of news in print media. • Distribution of TPEX market news and advertisements through online news media • Online advertising through advertising media such as Facebook, Google, and YouTube.



Material Issues Analysis

Based on the analysis of the questionnaires on positive and negative impact issues completed by the senior executives of TPEX, as well as the "Questionnaire on the Level of Concern on the Sustainability Issues of TPEX" completed by stakeholders, the issues with the highest levels of positive and negative operational impacts were identified. With reference to the results of the stakeholders' level of concern, the 7 material issues identified in 2023 were "Ethics and Integrity Management", "A Fair, Transparent, and Orderly Market", "Legal Compliance", "Corporate Governance", "System Stability and Resilience", "Information Security and Personal Information Protection", "Employee Compensation and Benefits". Compared with 2022, "Employee Compensation and Benefits" was added, while "Climate Change" and "Business Performance" were not included as material issues in 2023.

Identification Process of Material Issues

Step 1

**Inventorying TPEX
sustainability issues**
22 Sustainability issues

- TPEX compiled 22 sustainability issues by comprehensively considering stakeholders communications in the past years, international sustainability trends and regulations, and significant issues in the industry.

Step 2

**Evaluating the positive and
negative impacts of issues**
Survey on impacts by
11 senior executives

- In order to understand the two-way impact of various sustainability issues on the internal operation of TPEX and the external environment, the 11 senior executives of TPEX comprehensively assessed the possibility and each issue's positive and negative impact on TPEX.

Step 3

**Assessing concerns over
material issues**
314 concern questionnaires

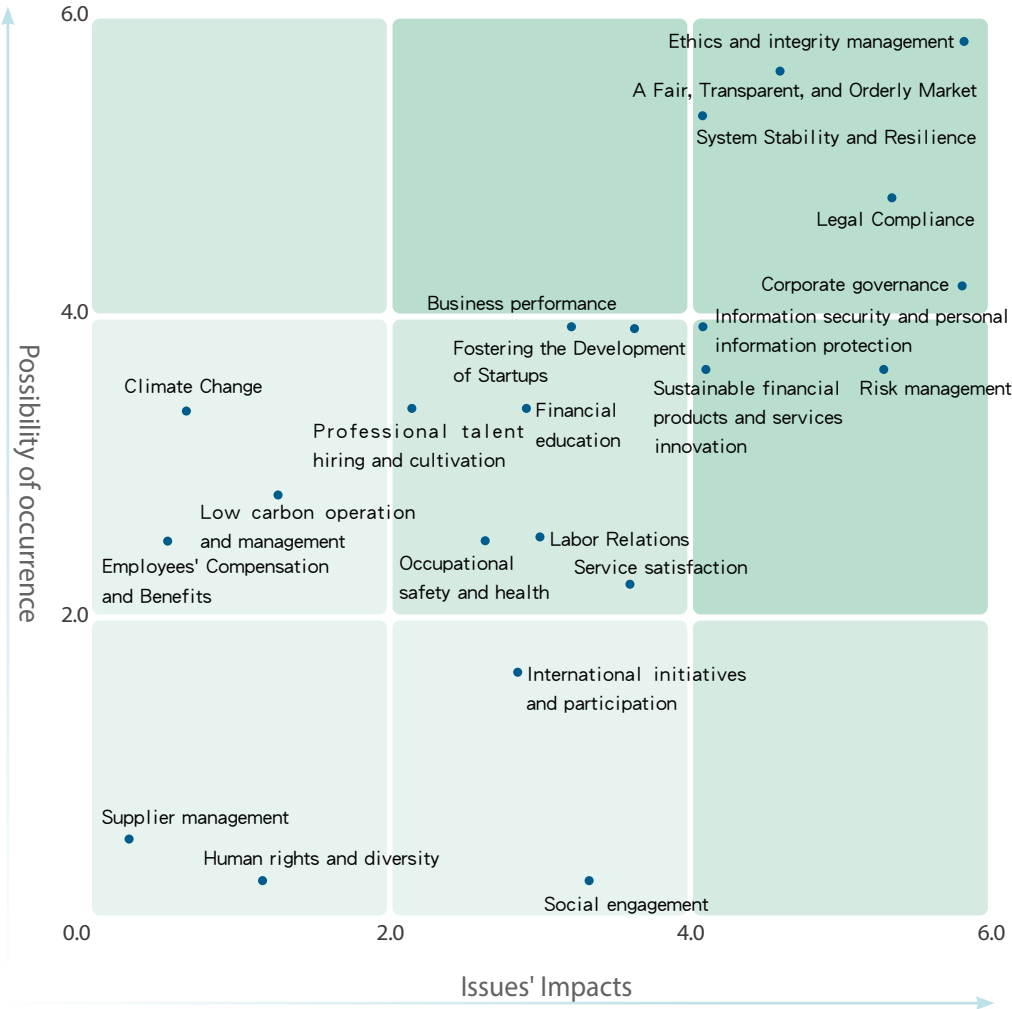
- A questionnaire was distributed to stakeholders to understand the sustainability issues of concern of various stakeholders as well as the level of concern. 314 questionnaires were collected.

Step 4

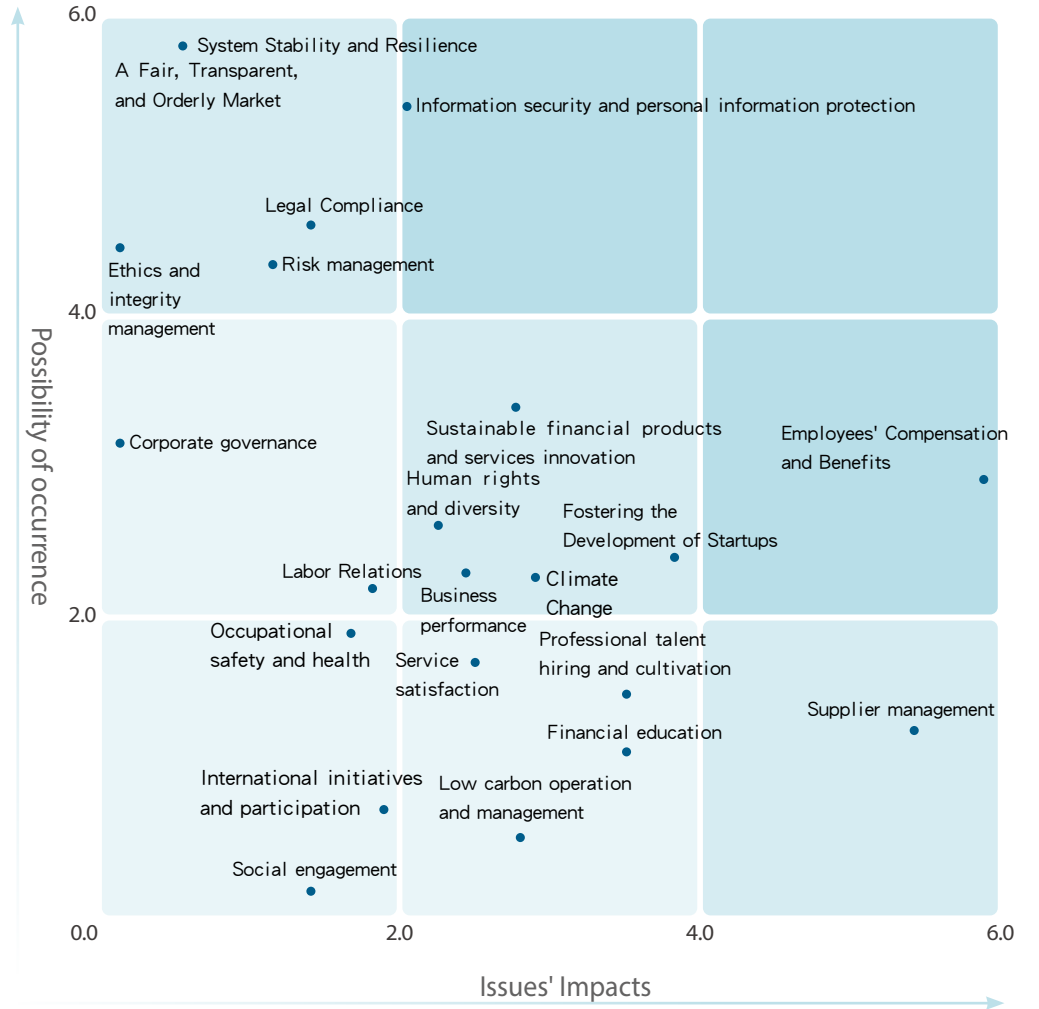
**Analyzing Matrix Diagrams
of Material Issues**
7 material sustainability issues

- TPEX integrated the evaluation results of Step 2 to draw a matrix diagram of material issues with positive impacts and a matrix diagram of material issues with negative impacts and identified 7 material sustainability issues for 2023 based on the results of stakeholder engagement in Step 3.

Matrix diagram of material issues with positive impacts



Matrix diagram of material issues with negative Impacts



Material Issues Management

Involvement degree in the impact of material issues

Material Issues	Internal	External				
	Employees	Government agencies and surrounding units of securities and futures	Main Board, Emerging Stock Board and Go Incubation Board companies	Investors	Intermediary agencies	Media
Ethics and integrity management	Yes	Yes	Yes	Yes	Yes	Yes
A Fair, Transparent, and Orderly Market	Yes	Yes	Yes	Yes	Yes	Yes
Legal Compliance	Yes	Yes	Yes	Yes	Yes	Yes
Corporate Governance	Yes	Yes	Yes	No	Yes	Yes
System Stability and Resilience	Yes	Yes	Yes	Yes	Yes	Yes
Information Security and Personal Information Protection	Yes	Yes	Yes	Yes	Yes	Yes
Employee Compensation and Benefits	Yes	Yes	No	No	No	No



Management Guidelines for Material Issues

Material Issue	Potential or Actual Impact		Policy/Commitment Please provide the policies and measures for addressing and managing material issues.	Short, medium, and long-term goals (Definitions of short-term, medium-term, and long-term)			Grievance/evaluation mechanisms (Please fill in the complaint channel established and other possible actions taken for this major issue (such as processes, projects, etc.))	2023 accomplishments (Please fill in the qualitative/quantitative goals for 2023 and the current progress towards the goals)	GRI/self-designed material issues
	Description of positive impacts	Description of negative impacts		Short-term	Medium-term	Long-term			
Ethics and integrity management	Ethics and integrity management enhance reputation and build trust with stakeholders.	Failing to observe ethics and integrity management will undermine reputation, investor confidence and market orders, and may even lead to penalties	<ul style="list-style-type: none"> TPEX has formulated several self-discipline standards for integrity management to establish an organizational culture of impartiality, equality, and anti-corruption. Prevent conflicts of interest and eliminate dereliction of duty such as corruption and illegal activities. 	TPEX has always adhered to laws and regulations and upheld principles of integrity, transparency, and accountability in its operations. It has established various ethical policies based on integrity. To promote and advocate ethical behaviors, relevant regulations are published on the internal website for employees to access at any time. Additionally, training sessions on material issues related to ethics and integrity management are provided regularly. An internal audit unit also reviews and verifies the adequacy and effectiveness of the internal control system to assist the Board of Directors and management in ensuring employee compliance with relevant policies and regulations. The aim is to establish a robust risk management mechanism and create a sustainable operating environment.			<p>•Grievance mechanism: TPEX has established measures for the implementation of the reporting system, and established a special unit for handling the reported cases. Reporting hotline:02-2366-6084 Reporting email:ethics@tpex.org.tw</p> <p>•Evaluation Mechanism:TPEX audits employees' trading of Main Board, Emerging Stock Board and Go Incubation Board securities every quarter according to the annual audit plan. The audit results are submitted to respective supervisors for review by the end of the following month, before submitted to the Joint Meeting of Directors and Supervisors on a quarterly basis.</p>	<ul style="list-style-type: none"> To strengthen the awareness of integrity and widely promote the importance of integrity management, three sessions on "Integrity and Ethics Cases and Regulations" were held respectively on June 29, July 3, and July 7, 2023, with a total of 295 participants. No reports about employee crimes, frauds or violations of law were received in 2023. 	GRI 205 Anti-Corruption
A fair, transparent, and orderly market	Attract high-quality domestic and international companies to enter TPEX market expand the scale of TPEX market, stimulate more active trading, and protect investors' rights.	Undermine integrity, and lead to a decline in reputation among stakeholders, a decrease in the number of listed issuers, and a decline in investors' willingness to invest.	<ul style="list-style-type: none"> Implement securities firm audits, trading surveillance, and corporate supervision to ensure sounder public investment. Strive for more transparent, fair, efficient, and safe trading mechanisms to encourage more active trading. 	<p>Short-term goal (1-3 years)</p> <ul style="list-style-type: none"> Support medium-sized enterprises in entering the multi-tiered market of TPEX and expand the scale of TPEX market. Revise relevant regulations in accordance with the Sustainable Development Action Plan for TPEX Listed Companies (2023), strengthen the promotion of and emphasis on sustainable development of Main Board companies and Emerging Stock Market companies to enhance its quality and information transparency. 	<p>Medium-term goal (3-5 years)</p> <ul style="list-style-type: none"> Review and amend relevant supervisory regulations and Main Board listing review mechanism of TPEX market in a timely manner. Improve sustainable information disclosure, aligning with international sustainability disclosure standards step by step. Encourage companies to disclose information about Scope 3 greenhouse gas emissions. 	<p>Long-term goal (beyond 5 years)</p> <ul style="list-style-type: none"> Continue to improve the market issuance and supervision systems to meet the diversified needs of enterprises and investors. Continue to enhance sustainable information disclosure to align with international sustainability disclosure standards. Strengthen the management of information disclosure by issuers, and promote open and transparent trading. Deepen the sustainability governance culture of Main Board companies. 	<ul style="list-style-type: none"> Investor hotline and mailbox Dedicated service windows for each listed / registered companies as well as face-to-face communication channels Seminars and communications with intermediary agencies Implement tiered management of routine and exception regulation, and internal control audits on Main Board/ Emerging Stock Board companies. Manage the information reporting and material information release of Main Board/ Emerging Stock Board companies. Maintain and regularly review the transaction declaration and information disclosure of various bonds. When auditing securities firms, provide opportunities for the firms and their personnel to explain, and consider their explanations in the handling process. 	<ul style="list-style-type: none"> Conducted a total of 291 and 51 material information audits on Main Board/ Emerging Stock Board companies, respectively. Conducted a total of 211 and 34 routine regulation and financial report reviews on Main Board/ Emerging Stock Board companies, respectively. Conducted a total of 93 and 23 internal control system implementation status audits on Main Board/ Emerging Stock Board companies respectively. Held a total of 4 sessions of promotional seminars on information reporting of Emerging Stock Market companies. Organized 2 "Intermediary Agency Training Sessions on Assisting Domestic and Foreign Companies in Listing on TPEX" and 13 seminars on the revised standards for the internal control systems of securities firms. 	Self-designed material issues: A fair, transparent, and orderly market



Material Issue	Potential or Actual Impact		Policy/Commitment Please provide the policies and measures for addressing and managing material issues.	Short, medium, and long-term goals (Definitions of short-term, medium-term, and long-term)			Grievance/evaluation mechanisms (Please fill in the complaint channel established and other possible actions taken for this major issue (such as processes, projects, etc.))	2023 accomplishments (Please fill in the qualitative/quantitative goals for 2023 and the current progress towards the goals)	GRI/self-designed material issues
	Description of positive impacts	Description of negative impacts		Short-term	Medium-term	Long-term			
A fair, transparent, and orderly market				<ul style="list-style-type: none"> •Hold two Emerging Stock Board performance briefings annually to improve information transparency and establish direct communication channels between Emerging Stock Board companies and investors. •Regularly enrich and review the industrial value chain information platform, and update industry and company-related information to help investors understand the industry trends and contexts of Main Board and Emerging Stock Board companies. •Regularly and irregularly update website bond information and related regulations to facilitate information search by investors and issuers. 	<ul style="list-style-type: none"> •Increase the proportion of female directors in Main Board companies. •Strengthen the supervision system for Main Board companies and Emerging Stock Market companies to protect investor interests. •Regularly and irregularly review the rationality of trading in various secondary bond markets to maintain market stability. 	<ul style="list-style-type: none"> •Learn more about corporate needs, review and revise relevant regulations in line with international standards, encourage more high-quality companies to enter the capital market, and assist companies in meeting international competition and challenges to jointly promote the sustainable development of the capital market. •Further strengthen the supervision system for and the transparency of information disclosure by Emerging Stock Board companies to protect investor rights. •Continuously review and revise bond market regulations and systems in line with market development. 	<ul style="list-style-type: none"> •In cases of actions taken against a securities firm, the firm may submit relevant evidence and state reasons for appeal within 30 days. •Hold promotional seminars. 	<ul style="list-style-type: none"> •Conducted a total of 145 routine and special audits, risk assessments, and anti-money laundering audits on securities firms. •Provided educational seminars and consultation services on bond-related systems and information reporting. 	Self-designed material issues: A fair, transparent, and orderly market
Legal compliance	Good compliance with laws and regulations enhances reputation and increases the trust of stakeholders.	Non-compliance with laws and regulations may lead to a decline in reputation, negative social perception, and even penalties.	Fully comply with laws, regulations and requirement, actively maintain smooth communication and contact with stakeholders, and prevent possible illegal events.	Regularly review relevant laws and regulations to avoid any illegal incidents, and provide timely training to colleagues to cultivate a spirit of the rule of law.			TPEX has established a complaint mechanism and a dedicated legal department. In case of any potential violation of regulations, the dedicated legal personnel will handle the matter according to TPEX's regulations through the complaint mechanism.	In 2023, there were no irregularities rectified by the competent authorities.	GRI 2 General disclosures



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	Description of positive impacts	Description of negative impacts		Short-term	Medium-term	Long-term			
Corporate Governance	Good corporate governance promotes smooth operations, helps establish a good reputation, and positively influences all Main Board and Emerging Stock Board companies.	If the corporate governance is poor and is rectified by the competent authority, the reputation will be undermined.	<ul style="list-style-type: none"> •Establish an appropriate governance structure and act in compliance with laws to serve as a positive example for Main Board and Emerging Stock Board companies to enhance their corporate governance quality. •Strengthen the establishment and implementation of internal systems through the governance structure; ensure all operations to comply with laws and regulations and social interests under the guidance and supervision of regulatory authorities; improve governance functions and foster a sound corporate culture. 	Attach great importance to the interests of stakeholders. In order to establish a good corporate governance system and promote the sound development of the core business, TPEX improves the quality of corporate governance with a professional management team, a complete board of directors with independent supervision functions, a strict internal control mechanism, and transparent and real-time communication channels, which has a positive demonstration effect on Main Board/Emerging Stock Board companies.			<ul style="list-style-type: none"> •Formal correspondence •Reports to Joint Meetings of Directors and Supervisors •An internal audit team set up under the Board of Directors regularly sends audit results and tracked improvements to respective supervisors for review, and reports them to the Joint Meetings of Directors and Supervisors on a quarterly basis. •Investor mailbox 	<ul style="list-style-type: none"> •The internal audit team's proposed suggestions to various departments have been sent to respective supervisors on a monthly basis for review and reported to the Joint Meeting of Directors and Supervisors on a quarterly basis, and the rectification has been completed. •TPEX convenes a Joint Meeting of Directors and Supervisors once every month, with 99.58% of the directors and supervisors present on average. 	GRI 2 General disclosure GRI 405 Employee diversity and equal opportunity
System Stability and Resilience	Maintain the stability of the financial market trading system to ensure continuous operation during disasters and the continuity of trading operations.	Harm investor rights and reputation.	<ul style="list-style-type: none"> •Establish a business continuity policy. The business continuity policy of TPEX is that the system shall be reliable, the information shall be correct, and the transaction shall be continuous. 	<ul style="list-style-type: none"> •There shall be no critical business interruption incidents exceeding the maximum tolerable interruption time. •The core trading system off-site backup drill completed the launch within the targeted recovery time. 	<ul style="list-style-type: none"> •Maintaining system stability and continuous operation. •All information system backup drills completed the launch within the targeted recovery time. 	<ul style="list-style-type: none"> •Ensure the operation continuity plan of the organization. •Continuously implement measures to enhance the resilience of the information system. 	<ul style="list-style-type: none"> •Various operational ongoing needs are negotiated and addressed through business contact window. Email. •Telephone. •Perform external and internal audits every six months. •Convene regular information security management review meetings. 	<ul style="list-style-type: none"> •The certificate continues to be valid after passing the ISO 22301 standard review carried out by the British Standards Institution (BSI). •Held two business continuity management review meetings. •Implemented two same-site and two different-site system backup test drills, with a 100% success rate. •Performed simulated hacking exercises and Distributed Denial of Service (DDoS) attack drills, with the result of effective protection. 	Self-designated material topics: System Stability and Resilience



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	Description of positive impacts	Description of negative impacts		Short-term	Medium-term	Long-term			
Information Security and Personal Data Protection	To ensure the privacy and security of customers' and stakeholders' information, and maintain market stability.	The privacy and security of information of customers and stakeholders are compromised and the market order is susceptible to hazards.	<ul style="list-style-type: none"> •Formulate information security policies •Protect the confidentiality, integrity, and availability of information assets, thereby ensuring safe and stable information services. 	<ul style="list-style-type: none"> •No leakage of confidential information is allowed. •The entire Exchange passed the ISO 27001 standard verification. (2022 version) •Plan to introduce "device identification" and "trust inference" mechanisms of the zero-trust network. •Ensure the collection, processing, and use of personal data comply with legal requirements to reduce the risk of improper disclosure of personal data. 	<ul style="list-style-type: none"> •No leakage of confidential information is allowed.Ensure the continuous effectiveness of the ISO 27001 certificate •Implement measures to enhance overall protection of asset security. •Ensure the collection, processing, and use of personal data comply with legal requirements to reduce the risk of improper disclosure of personal data. 	<ul style="list-style-type: none"> •No leakage of confidential information is allowed.•Maintain the validity of the ISO 27001 certificate. •Implement measures to enhance overall cybersecurity protection. •Ensure the collection, processing, and use of personal data comply with legal requirements to reduce the risk of improper disclosure of personal data. 	<ul style="list-style-type: none"> •convene regular information security management review meetings and personal data management review meetings. •Investor Hotline and Investor mailbox. •Formulate information security policies, personal data protection manuals, and related procedure documents, and perform internal and external audits every six months. •Various operational ongoing needs are negotiated and addressed through business contact window. •All employees receive information security and personal information education and training every year. 	<ul style="list-style-type: none"> •Pass continuous and effective verification of ISO 9001, ISO 27001, ISO20000 standards of British Standards Institution (BSI) (the scope of verification is informationsystem development, computer operation, host network and machine room equipment and facilities management maintained by the Information Department). •Hold two information security management review meetings and personal data management review meetings, respectively. •No instances of hacking, tampering or leakage of personal data in in 2023. •Successfully Implemented same-site and two different-site system backup test drills, with a 100% success rate. •The entire Exchange passed the ISO 27001 standard verification, and introduce "identification" mechanism of the zero-trust network. •The completion rate of all employees participating in information security and personal information protection courses reached 100%. 	GRI418 Customer Privacy









Material Issue	Potential or Actual Impact		Policy/Commitment Please provide the policies and measures for addressing and managing material issues.	Short, medium, and long-term goals (Definitions of short-term, medium-term, and long-term)			Grievance/evaluation mechanisms (Please fill in the complaint channel established and other possible actions taken for this major issue (such as processes, projects, etc.)	2023 accomplishments (Please fill in the qualitative/quantitative goals for 2023 and the current progress towards the goals)	GRI/self-designed material issues
	Description of positive impacts	Description of negative impacts		Short-term	Medium-term	Long-term			
Employee Compensation and Benefits	A fair and comprehensive compensation and benefits system is established to motivate employees' career aspirations, ensure proper care for employees and their families at different stages in order to enhance overall corporate competitiveness. competitive compensation and benefits are provided to attract and retain talents.	Without offering fair compensation and benefits, it may be challenging to retain talents, potentially impacting long-term operational development.	Salary standards are not differentiated by gender, race, religion, political stance, or marital status. Employees are treated equally in terms of pay raises and promotions during their tenure, with consistent base salaries for those in the same grade, ensuring a stable employee compensation structure.	Talents are crucial assets for TPEX. To attract and retain outstanding talents, we provide market-competitive compensation, benefits that surpass legal requirements, diverse learning resources, a safe and healthy work environment, and regular employee performance evaluations and rewards.			<ul style="list-style-type: none"> Regular labor-management meetings are held to coordinate labor relations, promote labor-management cooperation, and enhance work efficiency. Employee performance evaluations are reviewed regularly, and appropriate rewards are provided to employees with significant achievements or substantial contributions in innovative suggestions, product or business research, project or event management, or other exceptional achievements. 	<ul style="list-style-type: none"> In 2023, the minimum starting salary for employees was 1.61 times of the minimum wage stipulated by the Labor Standards Act. Labor-management meetings were held once every quarter in 2023, with harmonious relations between labor and management and no major discussion issues arising. In 2023, 100% of employees who had served for six months completed their performance evaluations. 	GRI 401: Labor and employer relations





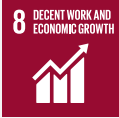





2023

SUSTAINABLE GOALS AND SPECIFIC ACTIONS







SDGs Practice

SDGs/SSE	Targets/Indicators	Performance in 2023
	<ul style="list-style-type: none"> Eliminating all forms of poverty. 	<ul style="list-style-type: none"> Joining hands with TPEx' s listed companies to donate funds and supplies to vulnerable groups through 1919 Food Bank. Responding to the joint donation activity of "The Joint Donation to the Food (Necessities) Banks" providing resources to underprivileged families in need through food donations.
	<ul style="list-style-type: none"> Eliminating hunger, achieving food security, improving nutrition and promoting sustainable agriculture 	<ul style="list-style-type: none"> Sponsoring the "Food Plan for the Single Underprivileged Elders" of Hongdao Elderly Welfare Foundation. Purchasing organic rice from Xing Jian Village and donating to social welfare groups.
	<ul style="list-style-type: none"> Ensuring health and promoting well-being for all ages 	<ul style="list-style-type: none"> Setting up vaccination stations in the workplace to provide COVID-19 vaccination and influenza vaccination services to increase vaccination rates. Funded medical institutions under the Ministry of Health and Welfare to help replace or purchase medical equipment, and donated medical supplies to Datong Home in Lienchiang County. Donating to the NTU Children's Health Foundation - "Hope for Children's Health - Smart Rehabilitation Highlights Program in 2023" and assisting NTU Children's Hospital in providing better medical treatment for children.
	<ul style="list-style-type: none"> Ensuring inclusive and quality education for all and promote lifelong learning 	<ul style="list-style-type: none"> Held the "2023 Securities Elite Seed Online Training Camp for College Students", with 63 schools participating, totaling 231 teams and 867 participants. Participating in the "Financial Services Education Charity Fund" to help young students from vulnerable families complete their studies. Donating to the "Ministry of Education – School Education Savings" to help vulnerable students in school with peace of mind. Donating to the "College Student Financial Employment Public Welfare Program" to enhance the financial expertise of economically vulnerable college students and improve their employment competitiveness. Sponsoring 12 branches of the Taiwan Fund for Children and Families, providing scholarships to underprivileged students. Supporting education in remote areas by providing resources and sponsorships for various educational projects. These include: Supporting the National Performing Arts Center's "Art Without Distance - Deepening Education Project in Outlying Islands.", the National Taiwan Science Education Center's "Thomas Edison's Adventure" public learning activity and Taiwan Vox Nativa Association's "Vox Nativa Music School Education Program." Additionally, sponsoring the "Beyond Beauty - TAIWAN from Above - Seeing Taiwan III" by Qi Bo-Lin Foundation. Through real-life footage, this documentary aims to raise public awareness of Taiwan's environmental and ecological issues.
 	<ul style="list-style-type: none"> Achieving gender equality and empowering all women 	<ul style="list-style-type: none"> Female employees account for 56.1%, and 29.4% of management positions. Employees who took parental leave were 100% reinstated in 2023, and 100% continued to be employed one year after reinstatement. Organized the 2023 Women's Entrepreneurship Skills Course. Participated in International Women's Day and the "2023 Ring the Bell for Gender Equality".



SDGs/SSE	Targets/Indicators	Performance in 2023
	<ul style="list-style-type: none"> Ensuring clean water resources and sustainable management 	<ul style="list-style-type: none"> Sponsoring the Guandu National Nature Park Care Plan to assist in the improvement of wetland conservation management.
	<ul style="list-style-type: none"> Ensuring access to affordable, reliable, sustainable and modern energy for all 	<ul style="list-style-type: none"> Funding the construction of solar power facilities to facilitate the development of green energy industry to generate approximately 14.2 thousand kilowatt-hours in 2023.
  Target 8.3 SME growth and sustainable development	<ul style="list-style-type: none"> Promoting sustained, inclusive and sustainable economic growth Ensuring smooth operation of the capital market, promoting sustainable economic growth, supporting and encouraging the growth of micro and small enterprises Promoting corporate financing and stock market transactions to create economic growth momentum 	<ul style="list-style-type: none"> Funding the Yu-Cheng Social Welfare Foundation to improve the environment of its wash center and the Sweet Potato Vine Restaurant. Also supporting the construction of the "Green Shelter Park" to create a friendly employment environment for people with disabilities. By the end of 2023, there were a total of 1,256 companies that listed / registered on Main Board / Emerging Stock Market and GISA. In 2023, the number of companies applied for listing on Main Board and for registering on the Emerging Stock Market were 26 and 72, respectively. In 2023, the total number of newly registered GISA companies and companies applied for counseling service were 62. The average daily trading value of Main Board stocks was NT\$70.491 billion, which was the second highest in history.
  Target 10.5 Security market regulation	<ul style="list-style-type: none"> Ensuring equal opportunities, promoting the improvement of regulations and supervision of financial markets and financial institutions from policy and regulatory perspectives, and strengthening the implementation of relevant regulations. 	<ul style="list-style-type: none"> Suppliers are required to sign the "Corporate Sustainability Development Commitments", with a total of 115 letters signed in 2023. Planning to amend relevant regulations according to the "Listed Company Sustainable Development Roadmap (2023)".
  Target 12.6 Reporting on environmental, social and governance	<ul style="list-style-type: none"> Promoting corporate sustainable development 	<ul style="list-style-type: none"> The 9th Corporate Governance Evaluation was completed, with 34 companies newly ranked in the top 20% of Main Board companies as compared to the 8th; 10 of 37 companies newly ranked in the top 5%, and 6 of them made it on the list for the first time. In 2023, a total of 256 Main Board companies issued the 2022 sustainability report, of which 160 were voluntary. Establishing the "IFRS Sustainability Disclosure Standards Convergence Zone" website as a knowledge platform for IFRS sustainability disclosure standards. Adding the "Corporate ESG Highlights" section.

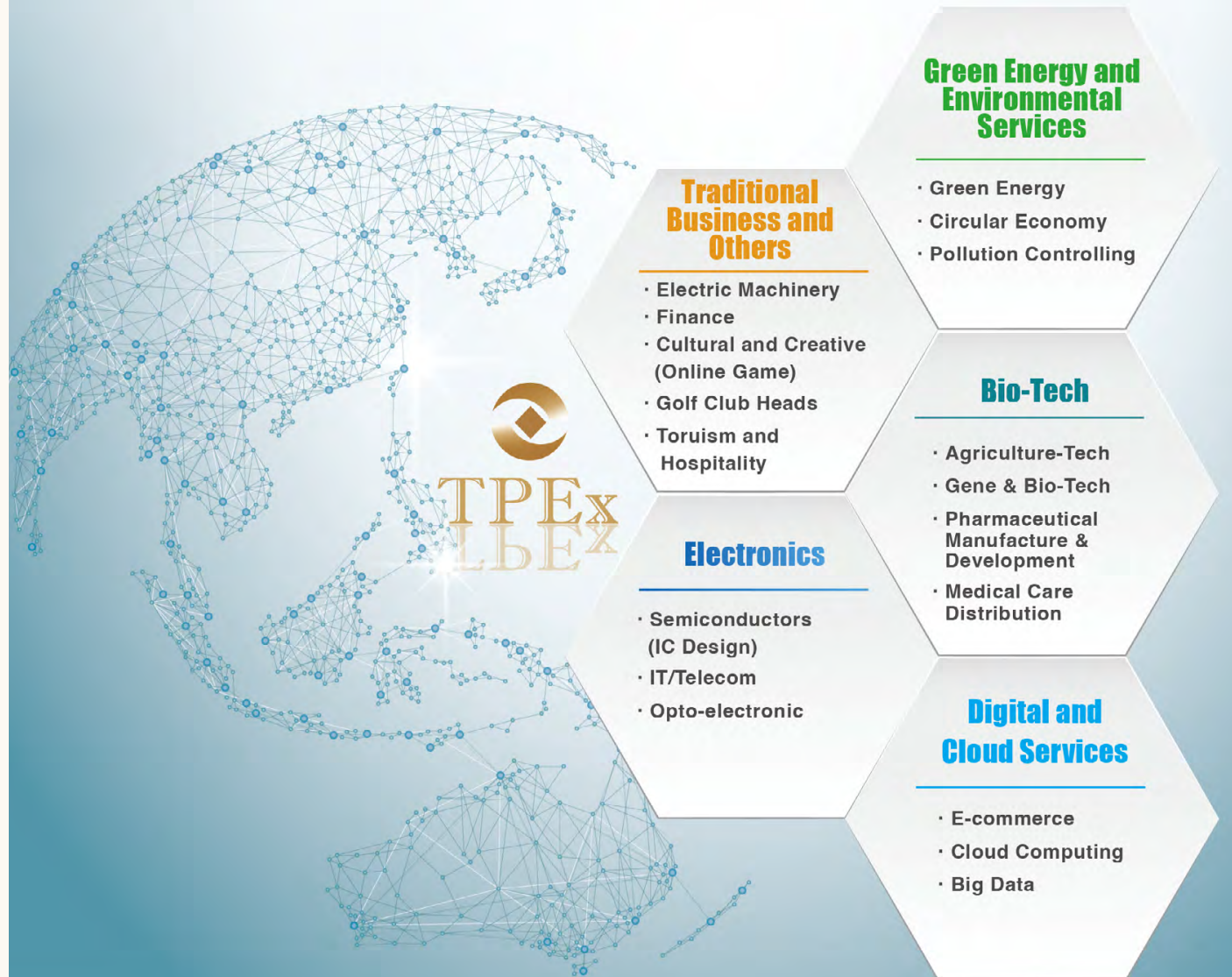


SDGs/SSE	Targets/Indicators	Performance in 2023
  Target 13.3 Climate action and green finance	<ul style="list-style-type: none"> • Taking relevant measures to respond to climate change and its impact. 	<ul style="list-style-type: none"> • In 2023, a total of 47 Sustainable Bonds were issued, with an issue amount of NT\$147.89 billion. • In 2023, two benchmark indices namely the "TPEX FactSet Climate Resilience Index" and the "TPEX FactSet Semiconductor Climate Resilience Index," as well as one exchange-traded index, i.e. the "TPEX FactSet Semiconductor Climate Net Zero Selection Return Index" were launched. • Taking the lead in completing the greenhouse gas inventory for the inaugural year (2022) and obtained an auditor's assurance report on June 15, 2023. • Continuously using green energy, accounting for approximately 27.51% of total electricity consumption.
	<ul style="list-style-type: none"> • Conservation and sustainable use of terrestrial ecosystems to ensure biodiversity and prevent land degradation 	<ul style="list-style-type: none"> • Sponsoring the Guandu Nature Park wetland restoration project, creating an environment suitable for the breeding of birds and other wildlife, and ensuring proper maintenance and management of paddy fields. • Planting over 26,000 trees in various coastal and mountainous areas.
	<ul style="list-style-type: none"> • Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels 	<ul style="list-style-type: none"> • Assisted competent authorities in combating financial investment fraud, actively collecting and reporting investment fraud advertisements. Since April 12, 2023, patrol duties have been implemented, with a total of 7,986 online advertisements reported in 2023, of which 7,568 have been taken down. Additionally, proactive fraud prevention campaigns have been conducted for raising public awareness of fraud prevention.
  Target 17.16 Partnerships for sustainable and transparent capital markets	<ul style="list-style-type: none"> • Strengthening the implementation of sustainability and activating the global partnership for sustainability 	<ul style="list-style-type: none"> • TPEX continued participating in major international conferences,, including: <ul style="list-style-type: none"> -WFE 2023 Clearing and Derivatives Online Seminar, the 62nd Annual Meeting and General Assembly, Working Committee Meeting, 2023 Seminar -IOSCO Training Seminar, 2023 Annual Meeting, AMCC 2023 Mid-Year Meeting, International Financial System Certification Phase One Seminar -CCP Global 2023 Annual Meeting, Special Annual Meeting -AOSEF 2023 Working Committee, 2023 Seminar, 2023 Executive Meeting

Enriching the TPEX Industrial Cluster

The semiconductor, electronics, and biotechnology industries have long been key drivers of our capital market. With capital market funding, these industries have formed a complete ecosystem and become crucial sectors in our country. In recent years, with the acceleration of global digital transformation and sustainable development, companies in the digital cloud and green energy environmental protection sectors have become emerging forces in the capital market. TPEX is strengthening its efforts to guide the companies from these new economic sectors into the TPEX market, creating a new industrial cluster following the semiconductor and biotechnology industries. This not only benefits the healthy development of these industries but also aims to moderately relieve the capital market's focus on the electronics industry, thereby enhancing market resilience and the sustainable development of the capital market.

By the end of 2023, there were 25 listed / registered digital and cloud services companies and 31 green energy and environmental services companies on the TPEX, indicating the gradual formation of industrial clusters. To support the development of domestic and international industries and promote the companies to enter multi-tiered capital markets, TPEX will continue to collaborate with intermediary institutions to hold advocacy and briefing sessions. Additionally, TPEX will strengthen interactions with relevant industry associations and visit companies to tap potential high-quality cases, creating the next emerging industry development cluster.



Assisting Companies in Promoting Sustainable Development

To lead Main Board companies in sustainable development, TPEx, under the supervision of competent authorities, continues to promote the advancement of companies towards international sustainable development goals according to the "Action Plan for the Sustainable Development of Listed Companies," the "Roadmap for the Sustainable Development of Listed Companies," and the "Corporate Governance 3.0 - Sustainable Development Blueprint."

Leading Companies Towards Net Zero

TPEx has been continuously guiding Main Board companies to disclose greenhouse gas inventories and assured information in accordance with the Listed Company Sustainable Development Roadmap, setting carbon reduction targets, strategies, and specific action plans, and encouraging companies to disclose information on Scope 3 greenhouse gases.

Deepening the Culture of Corporate Governance

TPEx conducted the "2023 Corporate Governance Evaluation Advocacy Course" in accordance with the promotion schedule of Corporate Governance 3.0 and the Sustainable Development Action Plan, to promote the recently revised corporate governance and ESG-related content to listed companies. Additionally, TPEx has been continuing to conduct corporate governance evaluation operations, reviewing related operational frameworks and indicator content, and continuously revising and adjusting in accordance with regulations, domestic and international practices, etc. The results of the 9th evaluation were announced in April 2023. Furthermore, in line with regulatory policies, TPEx reviewed and strengthened the functions of boards of directors, independent directors, and various functional committees of listed companies, and promoted a gender ratio of 1/3 for either the Board of Directors or the audit committee.

Enhancing Sustainable Information Disclosure

TPEx will promote the preparation of sustainability reports by listed companies with capital of less than 2 billion yuan starting from 2025. In addition, to assist listed companies in disclosing ESG-related information more efficiently and in a uniform format, the TPEx and the Taiwan Stock Exchange plan to establish a digital platform for sustainability reports. In addition to improving the ESG database content, integrating the seven major environmental (E), social (S), and governance (G) information disclosure topics declared by current listed companies in the "Corporate ESG Information



Disclosure" section of the Market Observation Post, encouraging companies to disclose diverse sustainable information, it will assist companies in automating the production of sustainability reports to reduce the time and cost of report preparation.

Aligning with IFRS Sustainable Disclosure Standards

The TPEx serves as the convener of the "Advocacy and Education Training" working group for "Promoting Taiwan's Alignment with IFRS Sustainable Disclosure Standards." It has established the "IFRS Sustainable Disclosure Standards Alignment Section" website (URL: <https://isds.tpex.org.tw>) and launched a "Listed Company Exclusive Roadmap" query function, which can customize the production of a single company's exclusive regulatory alignment schedule. It will also continue to launch practical guidelines and related Q&A in accordance with the sustainable standards deliberation progress, and will offer training courses, hold advocacy meetings, record short videos, continuously strengthening and expanding various functions of the website, assisting companies in planning early and progressively aligning with IFRS Sustainable Disclosure Standards, accelerating corporate sustainable transformation and commitments.

To continuously encourage and guide companies in practicing sustainable development, TPEx will deliberate over ESG-related international standards and evaluation content in the future. Considering the characteristics of Taiwan's industries, it will select distinctive and comparable indicators, and promoted ESG evaluation based on international development to identify the performance of listed companies in various ESG aspects, providing investors with references for making ESG investment decisions.

Expanding the Sustainable Bond Market

To assist companies in implementing sustainable development and support corporate sustainable transformation, TPEx established the Green Bond Trading Mechanism in April 2017. With substantial progress in the development of Taiwan's green bond market, to follow the international trend of sustainable finance and enhance Taiwan's sustainable bond market, it launched sustainable bonds, social responsibility bonds, and sustainable development linked bonds (SLB) in October 2020, April 2021, and July 2022, respectively, expanding the scope of sustainable bonds to include green bonds, social responsibility bonds, sustainable bonds, and SLBs. This provides the market with a financing platform and investment tools to directly implement the spirit of ESG investment.

To help Taiwan's listed companies obtain the funds needed for sustainable development and promote environmental sustainability, TPEx announced the revised "Taipei Exchange Operation Directions for Sustainable Bonds" on December 14, 2023, to establish a Sustainable Development Convertible/Exchangeable Corporate Bond Trading Mechanism. This Mechanism opens up convertible/exchangeable corporate bonds and corporate bonds with warrants for issuing sustainable bonds, thereby expanding Taiwan's sustainable bond market.

In addition to assisting domestic and foreign companies in issuing sustainable bonds to obtain the funds needed for sustainable development, the TPEx is also actively promoting Sustainable Bonds (applied for Government Bonds). Both the Taipei City Government and the Kaohsiung City Government obtained bond eligibility accreditation in December 2023. The Taipei City Government issued its first batch of Social Responsibility Bonds (applied for Government Bonds) totaling NT\$2.5 billion on January 10, 2024, and issued three more batches totaling NT\$7.5 billion yuan on March 25, 2024. The Kaohsiung City Government issued its first batch of Green Bonds (applied for Government Bonds) totaling NT\$2 billion on January 12, 2024. These are the first examples of local governments in Taiwan issuing sustainable bonds. In addition to demonstrating the determination of local governments to achieve net zero sustainability, they also create new opportunities for Taiwan's sustainable bond market.

The issuance of Sustainable Bonds (applied for Government Bonds) not only provides investors with stable investment options but promotes the diversification of issuers in the

sustainable bond market. TPEx expects that this will encourage more government agencies to participate in issuance in the future, thereby strengthening the healthy development of the sustainable bond market in Taiwan.

In the future, TPEx will study the feasibility of the Climate Transition Bond Trading Mechanism to expand the ecosystem of sustainable bonds. This will make the sources of investment in sustainable products more diverse for investors, increase Taiwan's sustainable development bond market-related mechanisms and products in line with international standards, and build a more internationally competitive sustainable development capital market.

Information on Taiwan's First Batch of Sustainable Government Bonds

Issuer	Types of Sustainable Bonds	Uses of Bond Proceeds	Expected Social or Environmental Benefits
Taipei City Government	Social Responsibility Bonds	Capital expenditure refinancing for the follow-up network projects of the Xinyi Line and Songshan Line of the Taipei Metropolitan Rapid Transit System Construction Project	Social Benefits: Reducing road traffic congestion and providing the general public or vulnerable groups with low-cost, economical, fast, convenient, safe, and reliable transportation.
Kaohsiung City Government	Green Bonds	Capital expenditure refinancing for the construction projects of the Red and Orange Lines of the Kaohsiung Metropolitan Rapid Transit System	Environmental Benefits: CO ₂ emissions are only about 19.2% of those from motorcycles and 16.61% of those from small passenger cars.



Launch of Climate Resilience Index

In response to international trends and Taiwan's net-zero transformation goals regarding climate change, TPEX cooperated with FactSet, an international financial digital platform and enterprise solution provider, and Entelligent, a climate modeling professional institution. On September 25, 2023, TPEX launched three climate resilience series indices, including two market benchmark indices, namely "TPEX FactSet Climate Resilience Index" and "TPEX FactSet Semiconductor Climate Resilience Index," as well as one trading index, i.e. "TPEX FactSet Semiconductor Climate Net Zero Preferred Return Index." This makes TPEX the first exchange in Taiwan to launch indices related to climate resilience.

The Climate Resilience Index series responds to international concerns about climate change, strengthens enterprises' own "climate resilience," and requires enterprises to disclose sustainability and climate information. It has become an important reference index for observing the performance of securities markets in terms of climate resilience. The "TPEX FactSet Climate Resilience Index Series" adopts the T-Risk database constructed by the climate science model of

Entelligent, FactSet's partner. It comprehensively evaluates the climate risk indicator scores of issuing companies and assesses the high and low risks of climate change on the financial performance of each company. This is used to determine the resilience of each company to climate change. The T-Risk scores are then applied to adjust the weight of constituent stocks of the index to highlight the climate resilience performance of each index constituent.

TPEX aims to increase investors' attention to climate-related issues in Taiwan's securities market through the introduction of Climate Resilience Indices. This initiative is expected to drive the listing and investment fervor of climate-related index products. In the future, TPEX will continue to plan thematic indices extended from the concept of climate resilience in response to market demand, providing investors with more diversified options for sustainable and climate investments.

TPEX FactSet Benchmark



TPEX FactSet Climate Resilience Index

(Code : IX0201)

The Index Universe is the constituents of the TPEX 200 Index, and the T-Risk is employed to adjust the constituents' weights in order to reflect the climate resilience of TPEX 200 companies.



TPEX FactSet Semiconductor Climate Resilience Index

(Code : IX0202)

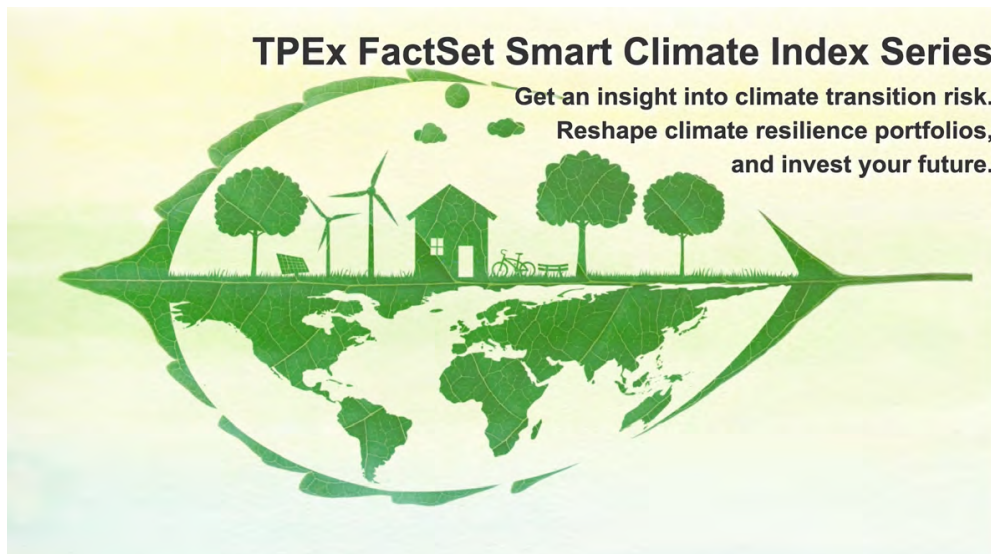
The Index focuses on the semiconductor companies of TPEX 200 constituents, optimizing their weights by using T-Risk to reflect their climate resilience.



TPEX FactSet Semiconductor Climate Net Zero Elite Total Return Index

(Code : IR0203)

The index adopts T-Risk to focus on the climate resilience and financial indicators. It selects investment targets that combine liquidity and decent scale of market capitalization.



Prevention of Financial Investment Fraud

As the endless emergence of financial investment fraud cases and constant changes of fraud methods, TPEX was committed to raising investor awareness and preventing financial investment fraud through various channels. It reminded the investors to carefully assess the authenticity of investment information and enhance their own anti-fraud defense capabilities.

To increase investor's vigilance and enhance their anti-fraud awareness, TPEX has integrated various information on preventing financial investment fraud. The "Financial Investment Fraud Prevention Zone" was established on September 30, 2022, integrating press releases and media reports, creating anti-fraud warning cards, producing educational videos, interactive games, Facebook posts, and other messages to educate investors on preventing financial investment fraud. To improve the content of the zone and increase the efficiency of information queries on preventing financial investment fraud, TPEX revised the "Financial Investment Fraud Prevention Zone," which was officially launched on October 31, 2023.

In addition, TPEX actively assisted the competent authority in combating financial investment fraud by collecting and reporting fraudulent investment advertisements. Using techniques such as text mining and website analysis, TPEX inspected advertisements on Google and Facebook with high traffic volumes, sorted out suspected online investment fraud advertisements, reports them to the competent authority, and requested the judicial police to remove illegal advertisements promptly. By blocking false investment advertisements at the source, TPEX aimed to reduce public exposure to false investment information, thereby preventing fraud and providing the public with a safer investment environment. TPEX began its inspections on April 12, 2023, and reported a total of 7,986 online advertisements in 2023, with 7,568 already removed.





Promotion Performance in 2023

Action	Performance
Continuous release of FB posts	In 2023, a total of 47 Facebook posts related to prevention of financial investment fraud were published.
Press releases	In 2023, a total of 10 press releases were issued to remind investors to be vigilant and be cautious about the traps, so as to protect their property safety.
Produced anti-fraud publicity videos	In 2023, three anti-financial investment fraud publicity videos were produced and were placed in the Anti-Financial Investment Fraud Zone of TPEX for investors to browse and enhance investors' awareness of anti-financial investment fraud.
Produced anti-fraud publicity posters	Anti-fraud posters were produced in May and August 2023, respectively.
Held an online prize-winning contest	From September 18 to October 22, 2023, an online interactive game entitled "A Life Alternative: How Do You Choose?" was launched to prevent financial investment frauds based on an animated video. The game allowed participants to experience in person how to make decisions when facing with possible investment fraud and the consequences of their choices. A total of 53,000 people signed up for this event.
Publicity in physical activities	<p>Anti-financial fraud videos and informational materials were provided during physical events and courses to publicize relevant information to the company, intermediary institutions, and the investors.</p> <ul style="list-style-type: none"> On the occasion of "2023 Labor Retirement Pension System and Legal Explanation Seminar" of the Ministry of Human Resources and Social Security, 8 sessions of "Financial Education" seminars were held nationwide to promote the prevention of "investment fraud" and "financial exploitation" and introduce topics such as day trading based on current news, the risks of day trading, concepts of default delivery, and characteristics of securities investment products. Interactive games themed on anti-financial investment fraud were held at the Financial Services Charity Carnival (Kaohsiung and Yilan), Asia-Pacific Sustainable Expo, Asia Bio Expo, and other events, for publicity in an enjoyable way. The Investor Protection Center held seminars on "How to avoid investment fraud" and "Investment or Speculation? Let's avoid investment pitfalls" to share the risks of investment fraud that investors should be aware of and the investment and financial management views they should have. The minutes of these two investor rights seminars were published in the Commercial Times and the Economic Daily for publicity. In response to the Financial Supervisory Commission's policy of strengthening financial education in remote areas and achieve inclusive finance, two financial education seminars were held in Matsu before November 29, 2023, introducing the market and trading products, promoting correct investment concepts, and preventing investment fraud concepts to about 100 participants. Mrs. Li, TPEX's Managing Director & CEO, gave a campus finance lecture organized by the Economic Daily at Fu Jen Catholic University on December 4, 2023, to reinforce the importance of preventing financial investment fraud among young students.
Assisting in the detection and prevention of fraud in cross-border securities and futures trading	The search system was set up in July 2022 to regularly collect the names and keywords of more than 500 cross-border trading platform names and keywords and 11,000 web channels. In 2023, 108 abnormal messages suspected of fraud or erotic information were collected, and five suspected Line investment fraud accounts and two suspected fraud cases were discovered, where were reported to Anti-fraud hotline 165.
Collected and reported investment fraud advertisements.	From April 12, 2023, to December 31, 2023, a total of 7,986 online advertisements were reported, including 6,713 Facebook ads (6,713 removed) and 1,273 Google ads (855 removed).